

AGENDA



SCHOOLS FORUM

Date: Thursday 10th May 2018
Time: 4.30pm
Place: Room 0012, Badminton Road Offices, Yate,
BS37 5AF

Distribution

Members of the Committee

Rhona Allgood	Keith Lawrence (Chair)
Dave Baker	Louise Leader
Penny Chislett	Jim Lott
Mark Dee	Sarah Lovell
Jo Dent	Diane Owen
Mark Freeman	Lisa Parker
Kim Garland	Ann Reed (sub)
Elizabeth Gibbons	Max Reed
John Goff	Peter Smart
Clare Houghton	Susie Weaver
Emma Jarman	Bernice Webber

Appropriate Officers

Peter Murphy
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Helean Hughes

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AGENDA

1	WELCOME AND INTRODUCTIONS	5 mins
2	APOLOGIES FOR ABSENCE	5 mins
3	EVACUATION PROCESS	5 mins
4	ANY OTHER ITEMS THE CHAIR DECIDES ARE URGENT	5 mins
5	MINUTES OF THE MEETING HELD ON 22 MARCH 2018	5 mins
6	INCLUSION CLUSTER FUNDING	20 mins
7	EXCLUSIONS: FUNDING FOLLOWING THE PUPIL PROPOSALS	20 mins
8	DSG OUTTURN REPORT	20 mins
9	DATES OF FUTURE MEETINGS AND THE SCHOOLS FORUM WORK PROGRAMME 2018	5 mins
10	ANY OTHER BUSINESS	5 mins

SCHOOLS FORUM
Thursday 22nd March 2018
Interactive Theatre Room, Winterbourne International Academy

PRESENT:

Dave Baker (Vice Chair)	Executive Headteacher, Olympus Academy Trust
Nicky Edwards	Early Years Private Provider
Kim Garland	Headteacher, Brimsham Green School
John Goff	Governor, New Horizons Learning Centre
Stuart Hill	Governor, Castle School Education Trust
Keith Lawrence (Chair)	Governor, Culverhill, School
Jim Lott	Governor, The Tynings Primary and Raysfield Federation
Diane Owen	Chair, Kings Oak Academy
Will Roberts	CEO, Castle School Education Trust (for Peter Smart and delivering Item 7)

Officers:

Mustafa Salih – Head of Financial Management and Business Support
 Helean Hughes – Head of Education, Learning & Skills & Virtual Headteacher
 Sue Morgan – Schools Support Manager, Integra
 Davina Gibbon – Corporate Finance

1. WELCOME AND INTRODUCTIONS

Dave Baker chaired the meeting as Keith Lawrence felt there was a conflict of interest with one of the items on the agenda. DB welcomed attendees and John Goff was welcomed back.

2. APOLOGIES FOR ABSENCE

Bernice Webber, Louise Leader, Mark Freeman, Susie Weaver, Ann Reed, Max Reed, Jo Dent, Toby Savage, Peter Smart, Mark Dee and Sarah Lovell

3. EVACUATION PROCESS

The Vice Chair drew attention to the emergency evacuation procedure

4. ANY OTHER ITEMS THE CHAIR DECIDES ARE URGENT

None

5. MINUTES OF THE MEETING HELD ON 18 JANUARY 2018

Other than a spelling mistake the minutes of the previous meeting were accepted as a true record.

6. MATTERS ARISING FROM THE PREVIOUS MEETING

Mustafa Salih referred to Item 7 on Page 2 and provided an update on the School Specific Contingency. Of the 2017/18 £300,000 allocation, £90,000 remains unallocated.

MS referred to Item 8 on Page 2 and confirmed that 2018/19 school budgets had been sent out and asked whether there were any issues that arose from the final figures. DB commented that within the Olympus Trust the secondary figures were down from what they were led to believe and there was a need to understand why the figures have changed.

7. SOUTH GLOUCESTERSHIRE COUNCIL SPECIAL AND EDUCATIONAL NEEDS AND DISABILITY STRATEGY

HH presented an update. SEND Strategy had been due to be presented at the previous meeting which had to be cancelled due to bad weather. The Consultation has now closed and a report will be presented to Cabinet on 23rd April. There are minor changes to the six areas in Section 5. There has been some good feedback which reflects the process that was followed. On Table 6, once a strategy has been agreed, an action plan will be created to deliver it. HH drew attention to some of the suggested activities in Table 6 which would require specific funding. Funding for the strategy would be from CCG, Public Health as the priorities are about the local area and not just the local authority. Also there would be funding from recycling within 0-25 and SEND.

The finalised strategy will be brought to a further Schools Forum meeting.

MS stated that although Schools Forum missed the opportunity to feedback views before the consultation period ended, there had been substantial consultation with opportunities for schools to feed into the strategy. Any views the on strategy the Schools Forum has would be welcome and useful as the Action Plan is developed.

HH said 50 attended the two consultation events which resulted in in a good response including 61 online responses and 65 responses through other means.

There is a written statement of action running alongside the strategy from the SEND inspection. There are eight priority areas to address and for that there is a Strategic Schools Improvement Fund bid to address some areas of SEND across South Gloucestershire. There is also an Enabling bid.

Schools Forum member expressed concern that there would need to be financial monitoring of the process. HH replied that governance will be by the SEND Partnership Board which will include finance. Additionally there would be dashboard monitoring.

Schools Forum noted the report

8. NEW REQUEST FOR SUPPORT FROM THE SCHOOLS FINANCIAL CONTINGENCY

Confidential item

As the Schools Forum was not quorate a decision was withheld until members of the Schools Forum who were not present could be contacted for their feedback. DB/MS to email Schools Forum not present at the meeting.

9. DATES OF FUTURE MEETINGS

The next meeting will be 10th May at the Badminton Road site. The agenda will include 2017/18 DSG Outturn and an update on the DSG Sustainability Plan

10. ANY OTHER BUSINESS

None

The meeting closed at 17.35

South Gloucestershire Council

SCHOOLS FORUM

10 MAY 2018

UPDATE ON THE DEVELOPMENT OF SEND INCLUSION FUNDING AND THE CREATION OF SEND CLUSTERS

Purpose of Report

1. The purpose of the report is:
 - To provide a update on the development of SEND Inclusion Funding and SEND school clusters
 - To outline next steps and approval process for the introduction of SEND Inclusion funding and SEND clusters.

Legislation and Policy

2. The Schools Forum has a responsibility to act as a consultative body with the local authority on the strategic financial management of the Schools Budget and the DSG. A key priority is the efficient use of the DSG in respect of the high needs funding and specifically support for pupils with special educational needs at both SEN Support and those that have Education Health Care (EHC) plans.
3. The Families Act 2014 (including the SEN Code of Practice) is the key driver of this area of work. The SEN Code of Practice requires the local authority and schools to implement a “graduated approach” in meeting the needs of pupils with SEND. The development of a funding stream for SEND inclusion and the development of SEND clusters would support this area of work and allow a number of actions in both the Ofsted Written Statement of Action and the newly consulted on SEND Strategy 2018-2023 to be completed.

Background

4. The High Needs Working Group (HNWG) has been exploring the development of SEND clusters and have received two reports (27th February 2018 and 24th April 2018) highlighting the work underway. Models implemented in other local authorities (e.g. Nottinghamshire, Wokingham, and North Somerset) have been considered and the model outlined in this report is based on feedback from the HNWG.
5. The SEND Inclusion Funding and SEND cluster development is viewed as a strategic local authority project as its implementation is a critical element of our SEND Strategy and DSG Sustainability Plan. It will change the funding arrangements for pupils with SEND and provide an “upstream” approach to

funding. This means that we will provide funding at an earlier point which ensures services can be developed and by doing so offset predicted higher costs later in the child's journey through school and into adulthood. The SEND Inclusion Funding will provide a mechanism to deliver on some of the commitments within the SEND Strategy (2018-2023). We are keen to move this forward with pace and work collaboratively with schools to ensure that it is developed successfully.

Scope and vision for the SEND clusters

6. For some time, schools have stated that they cannot access support for pupils with SEND early enough. Instead they have to wait until the pupils' needs become more complex and difficult to manage. Sometimes pupils are excluded as the schools struggle to manage the child's behaviour. Schools have stated that they would like to offer a wider range of support for pupils with SEND but that funding restricts the opportunity to run programmes (for example, speech and language programmes, nurture groups or support for anxiety) and to develop their own local offer. Schools have said that they would like to work on a multiagency basis but that it can be difficult to access practitioners that can support their pupils. Schools have said that they value the expertise within the sector and feel that they can learn and receive support from each other. This, however, can be difficult as individual schools are not always aware of the skills of staff in local mainstream and specialist provision in the locality.
7. Using the SEND Inclusion Funding, South Gloucestershire is looking to encourage schools to work in a different way. They will be encouraged to access funding in order to set up a range of support for groups of pupils across the local schools.
8. The current working vision is below:

Our vision is that children with SEND will achieve their potential and experience positive outcomes in our schools. Schools will work together in self-managed clusters and commission a range of support, programmes and services to meet the needs of pupils with SEND in their locality. Across the cluster, schools will take a shared responsibility for the children with SEND and develop their own cluster based local offer including quality first teaching and support for SEND. Early intervention programmes offered to groups of children will ensure that there is a reduction in the number of pupils needing long term more complex support or experiencing exclusions as their needs are met at an earlier stage. Schools will not only collaborate, learn from each other, share expertise and develop best practice across the cluster but also will be able to access specialist advice from educational psychologists, speech and language and occupational therapists. Our special schools, PLC and resource bases will be commissioned to provide services to schools by schools.

9. This will be achieved by accessing the SEND Inclusion Funding.

SEND Inclusion Funding

10. It is important to state what the funding will and will not be used for.

The SEND Inclusion funding will not be used:

- To replace funding for children with EHC plans. Some pupils with the most complex and severe level of need will still require an EHC plan.
- To provide Teaching Assistant support on a one to one basis.

The SEND Inclusion Funding can be used:

- To meet the needs of groups of pupils at SEN Support. This may include group programmes or interventions to support learning, anxiety, behaviour, social and emotional needs, speech and language, sensory etc.
- To develop what is offered as part of the Local Offer and school offer within a locality
- To access professionals (speech and language therapy, specialist teachers, and educational psychologists) and specialists within our own special schools and resource bases
- To enhance SENCO time
- To provide shared training

11. SEND Inclusion Funding is estimated to be in the region of £1,000,000 per annum and is not new funding but is made available as a result of the implementation of the DSG Deficit Recovery Plan. This was discussed in the November 2017 Schools Forum meeting when the Forum considered the reduction of high incidence funding and the removal of 0-105 units double funding element of the matrix funding. The option was later discussed and accepted by the Forum in 2018. This was based on the premise that funding released from the high incidence and removal of the 0-105 funding would be reinvested in SEND.

School Clusters and the local authority

12. The local authority expects that the cluster of schools that wish to access the SEND.

Inclusion Funding will agree to:

- Work together in a defined cluster arrangement
- Provide a lead SENCO (funded from the SEND Inclusion Funding) who will have an overview of all pupils with SEND and an understanding of the services and support that will need to be commissioned
- Share expertise across schools
- Manage pupils with SEND across a group of schools providing economies of scale in terms of the support that can be commissioned

- Accept a set of targets based on an agreed dataset and drawn up in a Service Level Agreement so all parties are clear on how the funding can be used. This may include additional funding being made available if targets are achieved
- Manage parental expectations so that parents and families feel confident about the level of support provided to their children.

13. The local authority will promote outcome based commissioning. This will include the cluster of schools signing up and agreeing to deliver the following outcomes in order to access the funding. This is important as the DSG Deficit Funding Plan is based on the assumption that providing funding in this way will result in a reduction in the number of EHC needs assessments being requested by schools. The suggested draft outcomes are:

- a. Reduce the requests for an EHC needs assessment
- b. Improve the outcomes of pupils with SEND identified at School Support
- c. Reduce the incidences of fixed term and permanent exclusions.

14. In addition there may be targets (these are illustrative as more work is needed to develop a set of agreed targets – schools will be part of this process)

For example, in 2018/19, across the cluster there is:

- progress data demonstrating an improvement of X levels for pupils at SEN Support
- % of days lost to fixed term exclusions is reduced by X
- % decrease in permanent exclusions
- 80% of SENCOs report that they can provide a broader range of support, services and interventions for pupils at SEN Support
- X% reduction in requests for EHC needs assessment based on 2017/18 baseline

The Development of Clusters

15. One of the more complex tasks is to agree how the clusters will be formulated. The expectation is that there will be five or six clusters and that they will be locality based and include primary and secondary schools. The cluster will engage with local early years providers but they will not be included in the cluster formation. Links will be made from the secondary schools to the FE Colleges. We are exploring the options of basing the clusters on existing or planned arrangements of clusters which include:

- Primary school improvement clusters / hubs and taking account of the development of the Alliance Partnership
- Better Care – South Glos. The Sustainability and Transformation Plan (STP) which is set up to provide integrated models of care at primary and community level across health and social care adult services.
- Mental health hubs and other preventative approaches across early help and preventative services.

16. Appendix 1 provides the cluster formation for the Better Care STP which includes multiagency working within the health and social care adult sector (including GPs). More work is underway to show where there clusters overlap.

Discussion with stakeholders (taken place and planned)

17. To date there have been conversations with school representative groups and others. These include:

- High Needs Working Group (27th February and 24th April 2018)
- Primary headteachers executive (12th April 2018)
- Special school headteachers group (1st May 2018)
- Secondary headteachers group (10th May 2018)
- Public Health Lead for the mental health (Steve Spiers)

Next Steps and Suggested Implementation Timeline

18. It has been suggested that there should be a pilot with one cluster group starting in September 2018. This would allow the format/structure and processes to be tested prior to a full implementation across all clusters in April 2019.

Next steps

1. Set up a Task and Finish group in late May/early June 2018. Primary headteachers have been nominated.
2. Agree a cluster formation with headteachers and approved by the local authorities DMT group by June 2018
3. Agree a Project Plan for the pilot of one cluster by July 2018
4. Draw up a Project Plan covering the development of all Clusters by September 2018
 - Set the vision for SEND Inclusion and SEND clusters
 - Establish financial elements of the Cluster Funding Plan including: savings targets, overall amount of funding, cluster level allocations, targets, decision making requirements etc.
 - Definition of clusters – set out and a process for agreement with schools
 - Use of an SLA and arrangements for allocating and monitoring funding. SLA to include clear outline of required outcomes and process to terminate the arrangement if outcomes are not delivered
 - Draw up Terms of Reference for the clusters
 - Funding to be determined for the allocation of core staffing to clusters (if required)
 - Identification of the process for requesting funding
 - Templates for requesting and agreeing funding
 - Agree moderation process/panels - cluster and LA wide and identify resources to pay for staff time (if required)

RECOMMENDATIONS

1. Schools Forum to approve the next steps as outlined above.
2. Schools Forum to advise on the approval process for the implementation of SEND Inclusion Funding and SEND clusters in April 2019.

Kate East
SEN Consultant

Sarah Hayes
Strategic Lead for SEND /
Principal Educational Psychologist

South Gloucestershire Council

SCHOOLS FORUM

10 MAY 2018

EXCLUSIONS – FUNDING FOLLOWING THE PUPIL PROPOSALS

PURPOSE OF THE REPORT

1. To consult Schools Forum on the charges for September 2018 onwards for pupils permanently excluded from mainstream schools and for this to be extended to cover academy and free schools.
2. Schools Forum to discuss and consider implications of regulations on school budgets.

DECISION

3. To consider the impact the Permanent Exclusion (Pex) funding mechanism, as detailed in the School and Early Years Finance Regulations 2017 will have on South Gloucestershire schools (maintained, academy and free schools).
4. Consider whether the current funding mechanism is fit for purpose, and adheres to 2017 regulations.
5. Discuss options for implementing an updated policy, in light of the updated Regulations, and agree on route to move this forward.

LEGISLATION AND POLICY

6. The Schools Forum has a responsibility to act as a consultative body with the local authority on the strategic financial management of the Schools Budget and the DSG. A key priority is the efficient use of the DSG in respect of the high needs block.
7. The DfE Exclusions from maintained schools, Academies and Pupils Referral Units in England – September 2017.
8. The School and Early Years Finance (England) Regulations – 2017
<http://www.legislation.gov.uk/ukxi/2017/44/contents/made>

BACKGROUND – EXCLUSION FUNDING POLICY TO 31/03/18

9. The current funding policy for permanent exclusions from South Gloucestershire schools is the following:

10. The excluding schools' budget share must be reduced by $A \times (B/52) + C$ where-

A is the amount determined by the authority that is attributable to a child of the same age as the pupil in question at primary and secondary schools maintained by the authority for the full funding period (i.e. the Basic Per-Pupil Entitlement and Pupil Premium);

B is either:

- i) The number of complete weeks remaining in the funding period calculated from the relevant date (where 'relevant date' means the sixth school day following exclusion), or;
- ii) Where the permanent exclusion takes effect on or after 1st April in a school year at the end of which pupils of the same age or year group as the pupil in question leave that school before being admitted to another school with a different pupil age range (i.e. where they would have left the school at the end of the academic year anyway), the number of complete weeks remaining in that school year calculated from the relevant date.

C is the amount of any financial adjustment order. This is an additional charge of £4,000 which may be levied where an exclusion appeal has been successful but the excluding school or academy does not accept the decision of the exclusion review panel and will not re-admit the child.

'Funding period' means financial year beginning 1st April 2018.

11. Where a child is admitted to a different school following permanent exclusion, the regulations prescribe that the authority must re-determine the admitting schools' budget share, increasing it by $D \times (E/F)$, where-

D is the amount of the reduction of the excluding school's budget share and pupil premium, except that any financial adjustment order is not taken into account;

E is the number of complete weeks remaining in the funding period during which the pupil is a pupil at the admitting school; and

F is the number of complete weeks remaining in the funding period calculated from the relevant date.

12. Age Weighted Pupil Units (AWPU) is the basic unit of funding children of a particular educational phase attracts and was set in financial years 2017-18 and 2018-19 as follows:

	2017/18	2018/19
Primary	£2,475.39	£2,665.49
KS3	£3,919.19	£3,748.06
KS4	£4,172.17	£4,255.69

13. A similar methodology is applied to any Pupil Premium funding the pupil in question would have attracted to the excluding school for the financial year in which the exclusion occurs. The funding rates are in the table below:

	2017/18	2018/19
Primary Deprivation (FSM)	£1,320	£1,320
Secondary Deprivation (FSM)	£935	£935
Service Children	£300	£300
Post Looked After/Looked after/SGO/Adopted	£1,900	£2,300

14. If a Governor Hearing following an exclusion or Independent Review Panel has ordered a financial adjustment the local authority will be responsible for reducing the budget share for the excluding school by a further £4,000, if the permanent exclusion has been overturned by the Governing Body of the school, but the school still refuse to reinstate the child or young person.

15. The purpose of the charge is to provide a contribution to the cost of alternative school provision as part of the arrangements for pupils educated out of school, and to provide any admitting schools with funding that follows the pupil.

Example: Excluding School funding reduction – Current Practice

16. AWPU: School excludes a pupil on 08 Jan 2018. The relevant date is therefore the 6th following school day, which is 16 Jan 2018. The pupil was in year 9 when excluded, and has been funded within the formula at the KS3 AWPU rate of £3,748.06. The funding adjustment takes into account funding attributable to a pupil for the period remaining in the financial year after the exclusion. There are 10 full weeks remaining between 16 Jan 2018 and 31 March 2018. The adjustment would therefore be calculated as follows:

$$£3,748.06 \times 10/52 = £720.78.$$

17. Pupil Premium: This pupil also attracted pupil premium funding to the school for 2017-18. Therefore, the amount to be deducted from the excluding school for pupil premium funding is: $£935 \times 10/52 = £179.80$
18. Total: The total funding adjustment is then $£720.78 + £179.80 = £900.58$.

MAINSTREAM SCHOOL EXCLUSION CHARGES (AS PER 2017 FINANCE REGULATIONS)

19. The methodology to be implemented from September 2018 for the calculation of exclusion charges for mainstream pupils is set out within The School and Early Years Finance (England) Regulation 2017.
20. The School and Early Years Finance (England) Regulation 2017 (here-after referred to as the Regulations) state that where a child is permanently excluded the Local Authority must re-determine an excluding schools budget share. All references within the Regulations regarding the amount to reduce by refer to the '*funding period*' which is defined in Part 1, Introduction - Section 1 of the Regulations as "*the financial year beginning on 1st April 2017*".
21. The charging mechanism is based on the funding attributable to a pupil of the same age and personal circumstances as the pupil in question for the full funding period; that is the Local Funding Formula pupil-led funding amount allocated through the Schools Block of the DSG, plus any pupil premium that child may attract.
22. The regulations (See Appendix 1) stipulate that academies are to be treated as for maintained schools regardless of their different financial year or that actual pupil numbers can be used instead of lagged pupil numbers in school budget calculations in certain circumstances. The basis on which the EFA fund an individual academy will not, therefore, be taken into account in the calculation of exclusion charges. If the academy did not restore funding through the exclusion charge, all DSG funding to support the education of the excluded pupil would be lost.
23. Schools Forum is being given the opportunity to consider this arrangement in respect of school's financial obligations towards pupils excluded from schools.
24. Pupil-led Formula funding used in 2018-19 Formula that may contribute to total funding for the excluded pupil:

Primary		Secondary	
AWPU	£2,665.49	AWPU	£3,748.06 KS3 £4,255.69 KS4
Deprivation -FSM	£426.94	Deprivation -FSM	£426.94
Deprivation – Ever 6	£523.98	Deprivation – Ever 6	£761.71
Deprivation IDACI -	£0 - £557.94	Deprivation IDACI -	£0 – £785.96
Prior Attainment	£1,018.85	Prior Attainment	£1,504.02
EAL	£499.72	EAL	£1,343.92
Split Site (per pupil amount)	£3.92	Split Site (per pupil amount)	£0

25. Over the course of a full financial year (2018-19), a pupil may attract the minimum funding of AWPU, which is £2,665.49 for primary, if they do not attract funding through any other pupil led factors. A pupil that attracts maximum funding through all the factors may attract a total of £9,078.24 for a KS4 pupil (AWPU + Deprivation + + EAL + Prior Attainment).

ISSUES TO CONSIDER

26. Which factors to include that should be considered as having been attracted by “a pupil of the same age and personal circumstances as the pupil in question”. Appendix 2 provides the 2018/19 financial year AWU and Pupil Premium rates together with a primary/secondary sector average for other pupil led areas of the formula. Schools Forum is asked to consider which factors other than AWPU and pupil Premium should be included in the funding adjustment.

- Continue with just APWU and Pupil Premium
- Pupil led factors (APWU, Pupil Premium, Deprivation, EAL, Prior Attainment)
- Pupil-led factors plus split–site, lump sum and sparsity

27. Should we consider on an individual pupil basis, or simply assign a charge based on the average funding a child, in the relevant phase, at the relevant school, would have attracted under the local funding formula for the relevant financial year.

28. How will academy funding be clawed back, who will undertake this task and how frequently?

29. If other pupil led factors are included in the Pex funding adjustment how will each of the elements of funding be identified as attributable to each pupil?

30. What data is available and how frequently is it updated? 6.6. How to deal with a situation where an excluded pupil has been placed in a school after the Autumn census in the “funding year” preceding the current Financial Year. The finance regulations allow for funding adjustments within a funding period i.e. financial year. Pupils permanently excluded between the Autumn census and 31st March, they will not have been on the roll of the admitting school at the time of the Autumn census and therefore would not have attracted any funding for the new financial year.

- **Example 1.** School A Pex'd a pupil on June 13th 2017 and School B admits the pupil on September 13th 2017. Under the finance regulations, the relevant “funding year” is 2017-18. School A's funding is clawed back for the period remaining in the financial year. As the pupil was excluded before the Autumn 2017 census, the pupil is no longer on the roll of the school at the count date and therefore does not receive formula funding for this pupil in the 2018-19 financial year. School B will receive funding for the period from 3rd September to the end of the financial year. As the pupil was on the roll of School B at the Autumn Census count, the pupil will be included in the school's 2018-19 formula funding.
- **Example 2.** School A - Pex'd a pupil on November 14th 2017 and School B admits the pupil on December 8th 2017. Under the finance regulations, the relevant “funding year” is 2017-18. School A's funding is clawed back for the period remaining in the financial year. As the pupil was excluded after the Autumn 2017 census, the pupil is on the roll of the school at the count date and therefore School A will receive formula funding for this pupil in the 2018-19 financial year. School B will receive funding for the period from December 8th to the end of the financial year. As the pupil was not on the roll of School B at the Autumn Census count, the pupil will not be included in the school's 2018-19 formula funding.
- **Example 3.** School A - Pex'd a pupil on September 15th 2017 and School B admits the pupil on December 8th 2017. Under the finance regulations, the relevant “funding year” is 2017-18. School A's funding is clawed back for the period remaining in the financial year. As the pupil was excluded before the Autumn 2017 census, the pupil is no longer on the roll of the school at the count date and therefore does not receive formula funding for this pupil in the 2018-19 financial year. School B will receive funding for the period from December 8th to the end of the financial year. As the pupil was not on the roll of School B at the Autumn Census count, the pupil will not be included in the school's 2018-19 formula funding.

31. Example of how Schools funding is allocated from the Autumn Census and the effect of Permanent Exclusion

Ap 17	Ma	Ju	Ju	Au	Se	Oc *	No	De	Ja 18	Fe	Ma	Ap	Ma	Ju	Ju	Au	Se	Oc	No	De	Ja 19	Fe	Ma		
Financial Year 2017 - 18												Financial Year 2018 - 19													
		Pex	Recouped funding									School no longer gets funding as not on Autumn Census													
							Pex	Recoup funding																	
												School continues to receive funding because it see the pupil still on roll from the Autumn Census													
					Pex	Recoup funding						School no longer gets funding as not on Autumn Census													

*Autumn Census

32. Maintained schools would have their funding allocations adjusted by journal on the schools Civica accounts to reflect the new arrangements. Where there is a funding adjustment affecting South Gloucestershire academies the LA would recover funding sending an invoice to the academy while funding allocations would be via BACS payment.

Appendix 1

Examples of Funding Following the Pupil within relevant Key Stages for financial year 2018-19 based on average funding for pupil led factors

Primary age student (including Reception)	
	£
AWPU	2,665.49
Deprivation	158.78
EAL	26.46
SEN (Prior attainment)	246.28
SEN (Top Up)	variable
Pupil Premium - FSM	1,320.00
Total	4,417.01

Secondary age student – Key Stage 3	
	£
AWPU	3,748.06
Deprivation	275.27
EAL	22.14
SEN (Prior attainment)	328.50
SEN (Top Up)	
Pupil Premium - FSM	935.00
Total	5,308.97

Secondary age student – Key Stage 4	
	£
AWPU	4,255.69
Deprivation	275.27
EAL	22.14
SEN (Prior attainment)	328.50
SEN (Top Up)	
Pupil Premium - FSM	935.00
Total	5,816.60

South Gloucestershire Council

SCHOOLS FORUM

10 May 2018

DEDICATED SCHOOLS GRANT 2017-18 PROVISIONAL OUTTURN POSITION AND 4 YEAR RECOVERY PLAN PROGRESS

Purpose of Report

1. To update the School Forum on the Dedicated Schools Grant 2017-18 provisional outturn position and progress to date against the 4 year DSG deficit recovery plan.

Dedicated Schools Grant (DSG)

2. The provisional DSG in-year outturn for the year is an over spend of £4.594m (3.61%) against grant after recoupment of £127.006m. The cumulative over spend is £9.434m. This a £1.528m improvement against the 2017/18 4 year recovery plan target of position of £10.962m.

DSG Funding

3. The net current DSG budget excluding academies and High Needs recoupment is £127.006m. Recoupment and Census adjustments to the DSG allocation are provided in the table below.

DSG Budget	Net £'000
Original Budget Allocation (December 2016)	195,602
Previous Quarters Adjustments and Recoupment	
• High Needs Block Recoupment & Direct Funding By EFA	-2,801
• Academy Recoupment	-65,568
• Schools Block Growth	163
• Early Years Block Census (Jan 17) Clawback by EFA	-359
4th Quarter Adjustments and Recoupment:	
• Early Years Block Census (Jan 18) Additional Funding by EFA	195
• Academy Recoupment	-226
Total Revised DSG	127,006

Funding Transfer between Blocks

4. The DSG is funded from three blocks. The Schools Block, the High Needs Block and the Early Years Block.

5. There was a funding transfer of £1.604m from the Schools Block to the High Needs Block to support demand led pressures. This funding has targeted Special Schools, Resource Bases, Early Years High Needs Support and Hospital Education.

DSG Outturn Position and 4 Year Recovery Plan

6. The DSG is reporting a cumulative outturn overspend position of £9.435m. There is an in-year overspend of £4.594m and a brought forward deficit balance of £4.841m. In 2016/17 a 4 year DSG deficit recovery plan was agreed by Committee to reduce the deficit budget to a balance position by 2020/2021. The £9.435m cumulative overspend position is a £1.527m improvement against the 4 year recovery plan target of £10.962m for 2017/18.

7. The table below provides details of the planned outturn targets compared to the actual outturn achieved to date and the forecast to 2020/21.

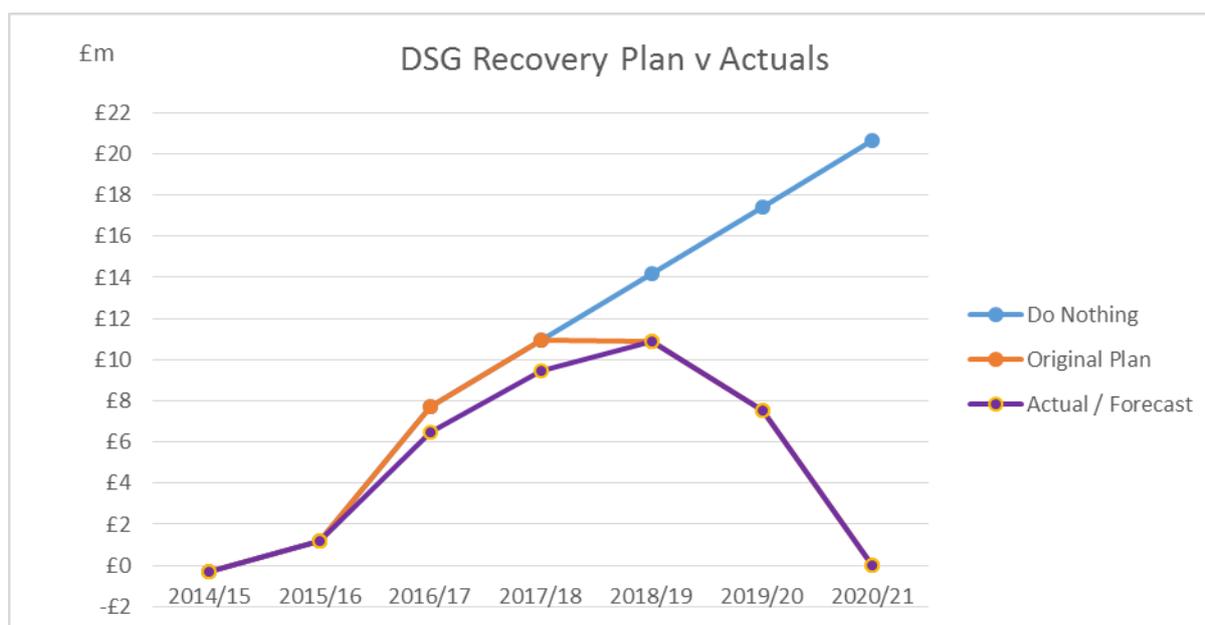
DSG Deficit Recovery Plan	2015/16	2016/17	2017/18	2018/19	2019/20	2020/21
	£'000	£'000	£'000	£'000	£'000	£'000
Original Plan Cumulative Overspend	1,214	7,734	10,962	10,891	7,511	0
Revised Plan Cumulative Overspend	1,214	6,488	9,435	10,891	7,511	0
Under / - Over Achievement	0	-1,246	-1,527	0	0	0

8. The table above provides the following data:

- The original recovery plan cumulative outturn forecast position until the DSG reaches a balanced position in 2020/21.
- Revised forecast - updating the forecast with the 2016/17 and 2017/18 outturn position. The target position for 2018/19 to 2020/21 has remained the same until a revised forecast for future years is completed. The revised forecast will include updated anticipated savings from the high needs working group project streams.
- The 4 year plan is £1.527m ahead of target at 2017/18m. However, it should be noted that expenditure to support children and young people with SEND continues to be under a great deal of pressure with continued risk to the DSG deficit recovery plan. The Department will

continue to work closely with the Schools Forum and the High Needs Working Group to monitor these risks as well as implementing a number of initiatives to manage these demand pressures as much as possible.

9. The table above is depicted in the graph below. Including a 'Do Nothing' scenario, which simply extrapolates the spending pressures experienced in 2016/17 to future years.



10. The key 2017/18 outturn variances are provided below.

Schools Block

11. The Schools Block is reporting an outturn underspend of £596k, a £269k underspend increase from the 3rd quarter forecast position. The main reason for the increase is due to the schools in financial difficulty budget. There is a school forum approved commitment to support Chipping Sodbury and Callicroft. The full support funding was not called upon in 2017/18 and the outstanding support funding will be the first call against the 2018/19 schools in financial difficulty budget.

High Needs Block

12. The High Needs Block is reporting an in-year outturn overspend of £3.814m, a £602k reduction from the 3rd quarter forecast position. The main pressure areas are provided below.

Independent and Non-Maintained Special School Placements

13. Independent and Non-Maintained Special School Placements are reporting an overspend position of £522k, a £335k reduction from the 3rd quarter forecast position. The reduction is due to delays in forecast start dates, a number of leavers and changes to costs of packages.

14. This area reported an overspend position of £1.140m in 2016/17.

Statemented Support

15. Statemented Support is reporting an outturn overspend position of £1.440m a £92k increase from the 3rd quarter forecast position. The increase is due to new starters, leavers and changes to existing packages. This area will continue to remain a significant pressure in 2018/19.

16. This area reported an overspend position of £1.356m in 2016/17.

Post 16 Placements

17. Post 16 Placements is reporting an outturn overspend position of £1.150m, a £597k decrease from the 3rd quarter forecast position. The decrease is due to a review of outstanding commitments relating to previous years, starters, leavers and changes to existing packages.

18. This area reported an overspend position of £1.216m in 2016/17.

Special Schools and Resource Bases

19. Special Schools and Resource Bases are reporting an overspend position of £203k, a £4k increase from the 3rd quarter forecast position. The reason for the overspend is an increase in places and an increase in cost bandings for existing students.

Pathways Learning Centre (PLC)

20. The PLC is forecasting an overspend position of £498k, a £302k increase from the 3rd quarter forecast position. The increase is due to a rise in demand for places from schools and the 0-25 service and price changes for the final 4 months of the year. A fundamental review of the PLC is currently underway to determine appropriate contributions from Schools following a permanent exclusion as well as to ensure the PLC's expertise and contribution is appropriately utilised across the school sector and in line with the Council's developing SEND strategy.

High Needs Central Block

21. The high needs central block area is reporting an overspend position of £53k, a £17k reduction from the 3rd quarter forecast position. The main reason is due to vacancy underspends on central staffing budgets.

Early Years Block

22. The Early Years Block is reporting an underspend position of £271k, a £271k increase from the 3rd quarter forecast position. The increase is due to underspends on central staffing budgets due to vacancies and the early years inclusion budgets due to the number of approved requests being less than funding available for the year.

Other Costs and B/F Balances

23. No change from the previous report.

SCHOOLS FORUM FORWARD PLAN

10 th May 2018 @ 4.30pm Room 0012 Ground Floor	12 th July 2018 2018 @ 4.30pm Room 0012 Ground Floor
<ul style="list-style-type: none"> • Inclusion Cluster funding • Exclusions: Funding following the Pupil Proposals • DSG Outturn Report 	<ul style="list-style-type: none"> •

ANY OTHER BUSINESS